



# Investment Adviser Information Alert

## IAR Continuing Education Requirement

### To: All Federal Covered Investment Advisers Notice Filed in Oklahoma

The Oklahoma Securities Commission and the Administrator of the Oklahoma Department of Securities adopted new and amended rules (“Rules”) under the Oklahoma Uniform Securities Act of 2004 (“Act”) that became effective on September 15, 2022. The new and amended Rules are available on the Department’s website under “Orders of Adoption”: <https://www.securities.ok.gov/Act-Rules/Rulemaking/2022/Adopted/>.

Please note the following change in particular:

IAR Continuing Education. New Rule 660:11-7-49 aligns with the NASAA Model Rule on Investment Adviser Representative Continuing Education and requires every investment adviser representative registered under the Act to complete twelve (12) credits of continuing education requirements each calendar year, beginning January 1, 2023. For more details on the requirements, see Rule 660:11-7-49 via the link provided above. NASAA provides an additional resource at <https://www.nasaa.org/industry-resources/investment-advisers/investment-adviser-representative-continuing-education/>.

Should you have any questions, you may contact:

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