

STATE OF OKLAHOMA  
DEPARTMENT OF SECURITIES  
204 N ROBINSON, SUITE 400  
OKLAHOMA CITY, OKLAHOMA 73102



In the Matter of:

Womack Investment Advisors, Inc. (CRD #118081) and  
Gregory K Womack (CRD #1858242),

Respondents.

ODS file 20-150

**REVISED ORDER SETTING HEARING**

The Hearing Officer (“Hearing Officer”) of the Oklahoma Department of Securities (“Department”) issues this *Revised Order Setting Hearing* under 660:2-9-2 of the Rules of the Oklahoma Securities Commission and the Administrator of the Oklahoma Department Of Securities (“Rules”), and Section 1-604 of the Oklahoma Uniform Securities Act of 2004 (“Act”), Okla. Stat. Tit. 71, §§ 1-101 through 1-701 (2022).

On September 12, 2022, a *Recommendation* was filed with the Administrator of the Department in support of the imposition of sanctions on Respondents under Section 1-411 of the ct. The *Recommendation* is based on multiple alleged violations of the act and rules.

On September 12, 2022, the Administrator of the Department issued a *Notice of Opportunity for Hearing* (“Notice”) to Respondents to give notice of their obligation to file an answer and their right to request a hearing to show why an order based on the *Recommendation* should not be issued.

On September 29, 2022, Respondents filed an *Answer to Department’s Recommendation and Request for Hearing* on the allegations set forth in the *Recommendation*.

On October 14, 2022, Danné Johnson was appointed as the Hearing Officer to conduct the individual proceeding or proceedings in this matter and is delegated authority to do all things necessary and appropriate to discharge the duties of ethe Haring Officer as set forth in 660:2-9-2(f) of the Rules.

On November 4, 2022, the Department and Respondents met by conference call with the Hearing Officer and determined a mutually agreeable hearing date.

**NOW THEREFORE, IT IS HEREBY ORDERED** that a hearing shall commence at **9:30am** on **March 1, 2023**, in the offices of the Department located at 204 North Robinson Avenue, Suite 400, Oklahoma City, Oklahoma 73102. At such time, the Department and Respondents shall be afforded the opportunity to present evidence and argument as directed by the Hearing Officer.

**IT IS FURTHER ORDERED** that the date set by this Order cannot be changed except by agreement of all parties or for good cause, and upon written order of the Hearing Officer.

**WITNESS MY HAND** and the Official Seal of the Oklahoma Department of Securities on this 7th day of November 2022.

(SEAL)

A handwritten signature in cursive script, appearing to read "Danne L. Johnson", written in black ink.

DANNE L JOHNSON, HEARING OFFICER