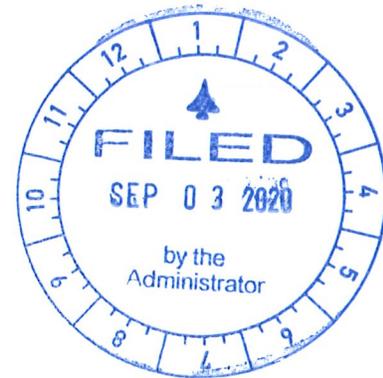


STATE OF OKLAHOMA
DEPARTMENT OF SECURITIES
204 NORTH ROBINSON, SUITE 400
OKLAHOMA CITY, OKLAHOMA 73102



In the Matter of:

Pentecost Capital Management, Inc. (CRD #106078) and
C. Daniel Pentecost (CRD #1066678),

Respondents.

ODS File 20-019

ORDER SETTING HEARINGS

The Administrator of the Oklahoma Department of Securities (the “Administrator” and the “Department”) issues this Order under Sections 1-411 and 1-604 of the Oklahoma Uniform Securities Act of 2004 (the “Act”), Okla. Stat. tit. 71, §§ 1-101 through 1-701 (2011 and Supp. 2019), and 660:2-9-2 of the Rules of the Oklahoma Securities Commission and the Administrator of the Oklahoma Department of Securities (the “Rules”), Okla. Admin. Code §§ 660:1-1-1 through 660:25-7-1.

On August 3, 2020, the Enforcement Division of the Department initiated an administrative proceeding against Pentecost Capital Management, Inc., and C. Daniel Pentecost (collectively, the “Respondents”) by filing an Enforcement Division Recommendation (the “Recommendation”) with the Administrator in support of the issuance of an order revoking Respondents’ registrations under the Act for alleged violations of the Act and Rules as set forth in the Recommendation. The Recommendation is based on the insolvency of Pentecost Capital Management, Inc., and unethical practices in the securities business as described therein and incorporated herein by reference. On the same day, the Administrator issued an order summarily suspending Respondents’ registrations pending a final determination of the administrative proceeding (the “Summary Order”).

On August 21, 2020, Respondents filed answers to the Recommendation and Summary Order and requested hearings on the Recommendation and the Summary Order.

NOW THEREFORE, IT IS HEREBY ORDERED that a hearing on the Summary Order shall commence at **10:00 a.m.** on **September 18, 2020**, in the offices of the Oklahoma Department of Securities located at 204 North Robinson Avenue, Suite 400, Oklahoma City, Oklahoma. The Hearing Officer shall make a

recommendation to the Administrator to modify or vacate the Summary Order or extend the Summary Order until final determination.

IT IS FURTHER ORDERED that a hearing on the matters set forth in the Recommendation shall commence at **10:00 a.m. on November 18, 2020**, in the offices of the Oklahoma Department of Securities located at 204 North Robinson Avenue, Suite 400, Oklahoma City, Oklahoma. The Hearing Officer shall prepare a proposed order for submission to the Administrator when deemed appropriate under the circumstances.

Witness my Hand and the Official Seal of the Oklahoma Department of Securities this 3rd day of September, 2020.

(SEAL)



MELANIE HALL, ADMINISTRATOR
OKLAHOMA DEPARTMENT OF SECURITIES

CERTIFICATE OF MAILING

The undersigned hereby certifies that on the 3rd day of September, 2020, a true and correct copy of the above and foregoing *Order Setting Hearings* was sent by First Class mail and email to:

By email only:

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