

**FILED IN DISTRICT COURT  
IN THE DISTRICT COURT OF OKLAHOMA COUNTY OKLAHOMA COUNTY  
STATE OF OKLAHOMA**

AUG - 1 2017

Oklahoma Department of Securities )  
*ex rel.* Irving L. Faught, )  
Administrator, )  
Plaintiff, )  
v. )  
Nathaniel F. Rogers, )  
Defendant. )

**RICK WARREN  
COURT CLERK**

34 \_\_\_\_\_

Case No.

**CV - 2017 - 1552**

**PATRICIA G. PARRISH**

**APPLICATION TO ENFORCE SUBPOENA COMPLIANCE  
AND  
AUTHORITY IN SUPPORT**

Plaintiff, Oklahoma Department of Securities (“Department”) *ex rel.* Irving L. Faught, Administrator, moves this Court for an order enforcing compliance with a subpoena duces tecum issued pursuant to Section 1-602(B) of the Oklahoma Uniform Securities Act of 2004 (“Act”), Okla. Stat. tit. 71, §§ 1-101 through 1-701 (2011 and Supp. 2016), to Nathaniel F. Rogers (“Rogers”). The administrative subpoena was issued to Rogers for the purpose of obtaining documents necessary to the Department’s investigation of possible violations of the Act.

**BACKGROUND**

1. Argent Enterprises, Inc. (“AEI”), as of April 2, 2015, is a suspended Oklahoma corporation. AEI’s registered agent on file with the Oklahoma Secretary of State is located in Edmond, Oklahoma. *Exhibit A.*

2. Rogers, on information and belief, is the president of AEI and resides in Edmond, Oklahoma. *Exhibit B.*

3. Based on information received by the Department, AEI and Rogers may have violated, and may be continuing to violate, the Act.

4. The Department has initiated an investigation of securities transactions in which AEI and Rogers may have participated. Section 1-602 of the Act sets out the authority of the Administrator of the Department ("Administrator") to investigate as follows:

A. The Administrator may:

1. Conduct public or private investigations within or outside of this state which the Administrator considers necessary or appropriate to determine whether a person has violated, is violating, or is about to violate this act or a rule adopted or order issued under this act, or to aid in the enforcement of this act or in the adoption of rules and forms under this act[.]

5. Subsection (B) of Section 1-602 of the Act authorizes the Administrator to subpoena witnesses and require production of documents as follows:

For the purpose of an investigation or proceeding under this act, the Administrator or its designated officer may administer oaths and affirmations, subpoena witnesses, seek compulsion of attendance, take evidence, require the filing of statements, and require the production of any records that the Administrator considers relevant or material to the investigation or proceeding.

6. On June 16, 2017, the Department issued a subpoena duces tecum to, *inter alia*, Rogers with a production deadline of July 15, 2017 (the "Subpoena"). *Exhibit*

C.

7. The Subpoena was served on Rogers, via certified mail, on June 19, 2017. *Exhibit C (last page of the exhibit, Domestic Return Receipt signed by Rogers).*

8. To date, the Department has not received production or any communication from Rogers pursuant to the Subpoena. *Exhibit D.*

**AUTHORITY**

Pursuant to Section 1-602 of the Act, the Administrator has the authority to apply to this Court to enforce compliance with the Subpoena. Subsection (C) of Section 1-602 of the Act provides as follows:

If a person does not . . . produce records, or otherwise does not obey a subpoena as required by the Administrator under this act, the Administrator may apply to the district court of Oklahoma County . . . to enforce compliance. The court may:

\* \* \*

- 4. Order the production of records;
- 5. Grant injunctive relief, including restricting or prohibiting the offer or sale of securities or the providing of investment advice;
- 6. Impose a civil penalty up to a maximum of Five Thousand Dollars (\$5,000.00) for a single violation or Two Hundred Fifty Thousand Dollars (\$250,000.00) for multiple violations in a single proceeding or a series of related proceedings; and
- 7. Grant any other necessary or appropriate relief.

Enforcement of the Subpoena under Section 1-602 is necessary for the effective enforcement of the Act by the Administrator.

**WHEREFORE**, the Department requests this Court (1) order Rogers to produce the records requested by the Subpoena; (2) enjoin Rogers, and any entity Rogers owns and/or controls, from offering and/or selling securities in and/or from the State of Oklahoma, until such time as Rogers complies with the Subpoena; (3) impose a civil

penalty in the amount of One Thousand Dollars (\$1,000.00) payable to the Plaintiff, and;  
(4) grant such other relief as this Court deems appropriate.

Respectfully submitted,

OKLAHOMA DEPARTMENT OF SECURITIES  
IRVING L. FAUGHT, ADMINISTRATOR

By:



Robert Fagnant, OBA # 30548  
Oklahoma Department of Securities  
204 North Robinson Ave, Suite 400  
Oklahoma City, Oklahoma 73102  
Telephone (405) 280-7700  
Fax (405) 280-7742  
Email: rfagnant@securities.ok.gov

OFFICE OF THE SECRETARY OF STATE



CERTIFICATE

*I, THE UNDERSIGNED, Secretary of State of the State of Oklahoma, do hereby certify that I am, by the laws of said state, the custodian of the records of the state of Oklahoma relating to the right of corporations to transact business in this state and am the proper officer to execute this certificate.*

*I FURTHER CERTIFY that ARGENT ENTERPRISES, INC., was granted a charter on the 23rd day of November, 2009, a corporation duly organized and existing under and by virtue of the laws of the State of Oklahoma.*

*I FURTHER CERTIFY that, LARRY PECKHAM whose address is 2105 NW 183RD CT EDMOND OK 73012 USA is the registered agent for service of process for said corporation.*



*IN TESTIMONY WHEREOF, I hereunto set my hand and affixed the Great Seal of the State of Oklahoma, done at the City of Oklahoma City, this 14th, day of February, 2017.*

*Mike Hunter*

Secretary Of State

EXHIBIT

A

OFFICE OF THE SECRETARY OF STATE



**CERTIFICATE OF SUSPENSION**  
**DOMESTIC FOR PROFIT BUSINESS CORPORATION**

*I THE UNDERSIGNED, Secretary of State of the State of Oklahoma, do hereby certify that I am, by the laws of said state, the custodian of the records of the state of Oklahoma relating to the right of corporations to transact business in the state and am the proper officer to execute this certificate.*

*I FURTHER CERTIFY that **ARGENT ENTERPRISES, INC.** is a corporation duly organized and existing under and by virtue of the laws of the state of Oklahoma.*

*I FURTHER CERTIFY that said corporation was suspended by the Secretary of State on the 2nd day of April, 2015 upon order of the Oklahoma Tax Commission for failure to comply with the requirements of the Oklahoma Tax Act and is not a corporation in good standing according to the records of this office.*



*IN TESTIMONY WHEREOF, I hereunto set my hand and affixed the Great Seal of the State of Oklahoma, done at the City of Oklahoma City, this 14th, day of February, 2017.*

*Mike Hunter*

*Secretary Of State*



BUSINESS ACCOUNT ADD SIGNERS FORM

--	--	--

NAME OF BUSINESS ARGENT ENTERPRISES INC

TAXPAYER ID NO. [REDACTED]

BUSINESS ADDRESS 5830 NW EXPY STE 255, OKLAHOMA CITY, OK 73132

BRANCH NAME AND NO BRYANT AND 2ND - 35

BANK NO. 662

BRANCH PHONE NO. (405) 715-7950

INTEROFFICE MAILCODE OK1-4120

PREPARED BY: NAME GEROD C BLACK

DATE: 08/19/2011

Please add the following signer to the accounts listed below (other authorized signers on record do not change):

Name of the Signer to Add NATHANIEL F ROGERS	Title PRESIDENT	Signature 	Date 8/19/11
Identification 1) Driver's License 2) US Military ID	ID Number [REDACTED]	Issuer OK USA	Issuance Date 09/28/2010
Account Numbers:			Expiration Date 11/30/2012

Please add the following signer to the accounts listed below (other authorized signers on record do not change):

Name of the Signer to Add	Title	Signature	Date
Identification	ID Number	Issuer	Issuance Date
Account Numbers:			Expiration Date

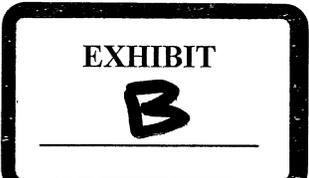
Please add the following signer to the accounts listed below (other authorized signers on record do not change):

Name of the Signer to Add	Title	Signature	Date
Identification	ID Number	Issuer	Issuance Date
Account Numbers:			Expiration Date

CERTIFICATION

The undersigned hereby certifies that the person(s) added as authorized signers on the account(s) indicated above have been added in accordance with resolutions or other documents of the Business regarding a going concern for bank accounts. The undersigned further certifies that for those added as authorized signers, the names, titles and signatures are correct.

For a Corporation or Unincorporated Association or Organization  Secretary	For Sole Proprietorship Date: 8-19-11 Owner/Sole Proprietor	For Partnership or Limited Liability Company Date: _____ Partner/Member/Manager	For Government Entity Date: _____ Certifying Officer
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STATE OF OKLAHOMA  
DEPARTMENT OF SECURITIES  
204 NORTH ROBINSON AVENUE, SUITE 400  
OKLAHOMA CITY, OKLAHOMA 73102

SUBPOENA DUCES TECUM  
ODS File No. 16-057



To: Argent Enterprises, Inc.,  
Nathaniel F. Rogers, President, and  
Larry D. Peckham II, Secretary

**IN THE NAME OF THE STATE OF OKLAHOMA**, and pursuant to Section 1-602 of the Oklahoma Uniform Securities Act of 2004 ("Act"), Okla. Stat. tit. 71, §§ 1-101 through 1-701 (2011 and Supp. 2016), **YOU ARE COMMANDED TO PRODUCE**, in compliance with the Definitions and Instructions sections included herein, all documents, records, and materials described in Appendix "A", attached hereto and incorporated herein by reference, before the Administrator of the Oklahoma Department of Securities, or his designated representative, at 204 North Robinson, Suite 400, Oklahoma City, County of Oklahoma, State of Oklahoma, or via electronic upload at <http://www.securities.ok.gov/DocumentDelivery>, **on or before July 15, 2017, at 5:00 p.m.**

Witness my Hand and the Official Seal of the Oklahoma Department of Securities this 16<sup>th</sup> day of June, 2017.

(SEAL)

A handwritten signature in cursive script that reads "Melanie Hall".

Melanie Hall, Deputy Administrator  
Oklahoma Department of Securities  
204 North Robinson Avenue, Suite 400  
Oklahoma City, Oklahoma 73102  
(405) 280-7700

EXHIBIT

C

**STATE OF OKLAHOMA  
DEPARTMENT OF SECURITIES  
204 NORTH ROBINSON AVENUE, SUITE 400  
OKLAHOMA CITY, OKLAHOMA 73102**

**Definitions**

As used herein, the terms "you" or "your" refer to Argent Enterprises, Inc., Larry D. Peckham II, and Nathaniel F. Rogers and all entities in which each such person has or has had a controlling interest; all affiliates thereof; all principals and employees thereof; and all agents, representatives, attorneys or other persons acting or purporting to act on their behalf.

1. As used herein, the term "Department" shall refer to the Oklahoma Department of Securities.

2. As used herein, the term "person" shall refer to a natural person, association, partnership, limited liability company, corporation, business trust, estate, trust, joint venture, or other form of business entity, or a government or an agency, subdivision or instrumentality thereof.

3. As used herein, the term "affiliates" when used to indicate a relationship with a person, shall mean (a) a corporation or organization of which such person is an officer or partner or is, directly or indirectly, the beneficial owner of 10 percent or more of a class of equity securities; (b) a trust or other estate in which such person has a substantial beneficial interest or as to which such person serves as trustee or in a similar capacity; and, (c) a relative or spouse of such person, or a relative of such spouse, who has the same home as such person or who is a director or officer of the entity or its parents or subsidiaries.

4. As used herein, the terms "identification," "identify," or "identity," when used in reference to (a) a natural individual, require you to state his or her full name and residential, business and electronic mail addresses and telephone numbers; (b) a firm, association, partnership, limited liability company, corporation or other form of business entity, require you to state its full name and all names under which it does business, its state of organization, the address of its principal place of business, and the addresses of all of its offices; (c) a business, require you to state the full name or style under which the business is conducted, its business address or addresses, the types of businesses in which it is engaged, the geographic areas in which it conducts those businesses, and the identity of the person or persons who own, operate, and/or control the business; and, (d) a communication, require you, if any part of the communication was written, to identify the document(s) which refer to or evidence the communication, and, to the extent that the communication was non-written, to identify the persons participating in the communication and to state the date, manner, place, and substance of the communication.

5. As used herein, "Electronically Stored Information" ("ESI") shall mean all stored computer generated data, and associated metadata, including, but not limited to, word processing, spreadsheet, database, instant message, graphic, chart and presentation, electronic mail message, electronic facsimile, any digital form for representing an electronic document (such as the ISO 32000 format) and scanned material files regardless of its storage method including, but not limited to, removable hard drives, removable storage media, optical disks, flash memory, personal digital assistants, smart phones, online and/or "cloud" storage, backup and archive tape cartridges, reels and cassettes, or fixed storage media, including, but not limited to, internal hard drives, external hard drives, and Local Area Network drives.

6. As used herein, the term "document" or "writing" means, a medium upon which intelligence or information can be recorded or retrieved, and includes, without limitation, an ESI item, invoice, bill, order form, receipt, financial statement, account statement, accounting entry, diary, written material, book, file, note, pamphlet, periodical, letter, memorandum (including a memorandum or report of a meeting), calendar, telex, telegram, cable, report, record, contract, agreement, study, handwritten note, working paper, chart, print, laboratory record, drawing, sketch, graph, index, list, tape, photograph, microfilm, data sheet or data processing card, or other written, recorded, transcribed, punched, taped, filmed, or graphic matter, however produced or reproduced.

7. As used herein, the terms "relation," "pertaining to," "relating to," "related to," or "related" mean pertaining in any way to, referring to, reflecting, recording, memorializing, mentioning, constituting, describing, or concerning, directly or indirectly.

8. The following rules of construction apply to this subpoena:

(a) the connectives "and" and "or" shall be construed either disjunctively or conjunctively as necessary to bring within the scope of the attachment all responses that might otherwise be construed to be outside of its scope; and

(b) the use of the singular form of any word includes the plural and vice versa.

## Instructions

1. Documents required by the subpoena shall be accompanied by a list briefly identifying each document or other material, its custodian, and the item or items of the subpoena to which it relates.
2. Documents required by the subpoena must be produced in an electronic format unless the documents are not kept and/or maintained as ESI.
3. Files shall be given a meaningful name in relation to their content (e.g. Smith\_ChkBnk\_Stmnt\_1-1-12.xlsx, SmithContract\_1-1-12.tiff) and NOT a generic file name (e.g. Bnk\_0001.xlsx).
4. File names shall be unique and non-duplicative.
5. ESI shall be produced in its native format with all associated metadata.<sup>1</sup>
6. Electronic mail messages shall be produced to the Department in their native format (e.g. as a .pst, .msg, etc. file).
7. Imaged productions in the TIFF format will be accepted, when the documents requested are ordinarily used and maintained as such, if:
  - a. Images are at least 300 Dots Per Inch in resolution; and
  - b. The file type lends itself to imaging. If it does not, the production must include copies of the original file in its native format (for example, spreadsheets shall be produced as .xls or .xlsx files).
8. Adobe PDF files are acceptable as native file productions *only* when they have been used and maintained as PDF files in the normal course of business and are at least 300 Dots Per Inch in resolution.
9. Instant Message production should be in the Yahoo!™ Messenger version 6.x to 8 format or must:
  - a. Be in a tab delimited, quotation mark (") text qualified, text file;
  - b. Include, at a minimum, the following fields: DATE, TIME, FROM, TO, CONVERSATION TEXT, CONVERSATION INDEX; and
  - c. Include field names in the first row of the text file.
10. Audio and video file production must:

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<sup>1</sup> Assistance complying with subpoenaal technical requirements may be attained by calling the Department's IT office at (405) 280-7710. The subpoenaed person shall, at all times, retain the legal obligation and responsibility of complying with the *Subpoena Duces Tecum*.

- a. Be produced in a format that is playable using Microsoft Windows Media Player™; and
- b. For telephonic recordings, include a tab delimited, quotation mark (") text qualified, text file with the following metadata fields, at a minimum: CALLER\_NAME, CALLING\_NUMBER, DATE, TIME, CALLED\_PARTY, CALLED\_NUMBER and FILENAME. The filename must match exactly the name used to name the corresponding audio file. The field names must be included in the first row of the text file.

11. Files shall NOT be individually password protected. Password protection shall be applied only to the media the file(s) is stored upon when produced to the Department. Provide passwords to the Department via a separate, mailed or hand-delivered response.

12. Exception files shall be provided to the Department. The Exception file shall list, at a minimum: (a) the error(s) preventing the production of the file(s); (b) the file(s) name(s) and extension(s); (c) the file(s) creator(s), custodian(s) and date(s) of creation; and (d) a brief summary of the file(s) content.

13. Files that are listed in the Exception file shall temporarily satisfy the Subpoena, until such time as the file can be produced electronically, by producing the file in a hardcopy format to the Department.

14. Should any document(s), required to be produced by the subpoena, be withheld pursuant to a claim of privilege or for any other reason, submit a list stating: (a) the nature of the documents, communications, or information not being produced; (b) the creator(s) and date(s) of creation of the documents, communications, or information; (c) their present, or last known custodian; and (d) the reason(s) the documents are not produced.

15. Should any document(s) required to be produced by the subpoena have been destroyed for any reason, provide a detailed statement describing such document(s) and setting forth when, how, and why the document(s) were destroyed. If the destruction occurred as a result of a document retention policy, provide a copy of that document retention policy with the detailed explanation.

16. Place a mark on all media, including, but not limited, to optical disks, flash drives and/or hard drives, containing files submitted by you identifying the media/files as having been provided by you.

17. Place a mark on all hardcopy documents submitted by you identifying them as having been provided by you.

18. Indicate by separate affidavit whether a diligent search has been made for the subpoenaed documents and whether you have produced all of the documents required by the subpoena.

## Appendix "A"

**You are commanded to produce** the following items within your possession, custody or control:

1. All promotional materials or literature, advertisements, newsletters, reports, solicitation letters, prospectuses, private placement memorandums, subscription agreements, and other documents used in connection with the offer or sale of all interests in Argent Enterprises, Inc., or in such entity's programs or projects.
2. Documents identifying, in accordance with Item Number 5 of the Definitions, each purchaser or offeree of each interest identified in the documents provided in response to Item Number 1 above, as well as the date of each purchase or offer and the amount of each purchase.
3. Documents identifying, in accordance with Item Number 5 of the Definitions, each person involved in the offer or sale of each interest identified in the documents provided in response to Item Number 1 above, as well as documents identifying all commissions or other remuneration paid to each such person.
4. All documents utilized by each person identified in Item Number 3 above in the offer or sale of each interest identified in the documents provided in response to Item Number 1 above including, but not limited to, personal notes or memoranda, sales scripts, sales literature, newsletters, correspondence, advertisements, sales training materials, or sales manuals.
5. All documents evidencing the source of information utilized to contact potential purchasers including, but not limited to, lead cards, lead sheets, profiles, and similar informational reports.
6. Any document identifying the exemption from registration under the Act, if any, you rely upon in connection with the offer and/or sale, in and/or from Oklahoma, of each interest identified in the documents provided in response to Item Number 1 above.
7. All documents utilized to determine whether an actual or potential offeree or purchaser of each interest identified in the documents provided in response to Item Number 1 above is "accredited" as that term is defined in Rule 501 of Regulation D (17 C.F.R. § 230.501), promulgated by the United States Securities and Exchange Commission.
8. Documentation identifying all open and closed accounts into which funds received from persons identified in Item Number 2 above were deposited or transferred including, but not limited to, checking, savings, credit card, certificate of deposit, loan, safe deposit box, trust, and escrow, by the name the account is carried in, the name and mailing address of the financial institution, the account number, the type of account, and the name(s) of person(s) authorized to sign on the account.

9. All organizational documents of Argent Enterprises, Inc. including, but not limited to, certificates of incorporation, charters, bylaws, minutes, resolutions, stock ledgers, lists of shareholders, business plans, and other similar documents.

10. Documentation identifying all contractors, vendors, officers, directors, and employees of Argent Enterprises, Inc., as well as the title, job responsibilities, and time period of employment or service of each such contractor, vendor, officer, director, or employee.

11. All documents relating to any contract or agreement for work or services provided by any contractor, vendor, member, manager, officer, director, or employee identified in Item Number 10 above.

12. All financial statements, audited or unaudited, monthly, quarterly or annual, of Argent Enterprises, Inc. for the last 10 years.

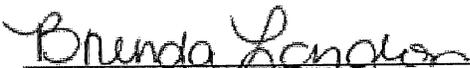
CERTIFICATE OF SERVICE

I certify that on June 16<sup>th</sup>, 2017, I mailed the above and foregoing *Subpoena Duces Tecum* via certified mail, return receipt requested, delivery restricted, to the following:

Argent Enterprises, Inc.  
c/o Larry D Peckham II, Registered Agent  
2105 NW 183<sup>rd</sup> Ct  
Edmond OK 73012

Nathaniel F Rogers  
President, Argent Enterprises Inc  
2116 Basking Ridge Trl  
Edmond OK 73013

Larry D Peckham II  
Secretary, Argent Enterprises, Inc.  
7220 NW 131<sup>st</sup> St  
Oklahoma City OK 73142

  
Brenda London, Paralegal

OKLAHOMA DEPARTMENT OF SECURITIES  
204 North Robinson, Suite 400  
Oklahoma City, Oklahoma 73102

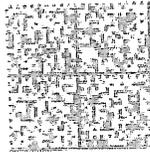
**CERTIFIED MAIL**



7015 0640 0004 8677 6201  
**RESTRICTED DELIVERY**

Argent Enterprises, Inc.  
c/o Larry D Peckham II, Regd Agent  
2105 NW 183<sup>rd</sup> Ct  
Edmond OK 73012

U.S. POSTAGE PITNEY BOWES  
ZIP 73102 \$011.72<sup>0</sup>  
02 4W  
0600346013 JUN 16 2017



OKLAHOMA DEPARTMENT OF SECURITIES  
204 North Robinson, Suite 400  
Oklahoma City, Oklahoma 73102

**CERTIFIED MAIL**



7015 0640 0004 8677 6201

**RESTRICTED DELIVERY**

Nathaniel F Rogers  
President, Argent Enterprises Inc  
2116 Basking Ridge Trl  
Edmond OK 73013

U.S. POSTAGE PITNEY BOWES  
ZIP 73102 \$011.72<sup>0</sup>  
02 4W  
0600346013 JUN 16 2017



OKLAHOMA DEPARTMENT OF SECURITIES  
204 North Robinson, Suite 400  
Oklahoma City, Oklahoma 73102

**CERTIFIED MAIL®**



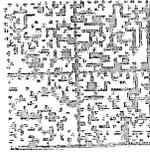
7015 0640 0004 8677 6218

**RESTRICTED DELIVERY**

Larry D Peckham II  
Secretary, Argent Enterprises, Inc.  
7220 NW 131<sup>st</sup> St  
Oklahoma City OK 73142

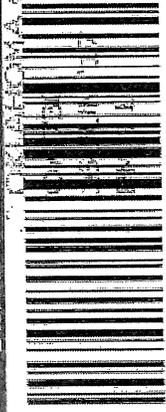
U.S. POSTAGE & METS BOWIES

ZIP 73102 \$011.72  
02 4W  
0000346013 JUN 16 2017



OKLAHOMA DEPARTMENT OF SECURITIES  
204 North Robinson, Suite 400  
Oklahoma City, Oklahoma 73102

**CERTIFIED MAIL**



7015 0640 0004 8677 6195  
**RESTRICTED DELIVERY**

Argent Enterprises, Inc.  
c/o Larry D Peckham II, Regd Agent  
2105 NW 183<sup>rd</sup> Ct  
Edmond OK 73012

MESSAGE 731 NFE 1 1710265000

RETURN TO SENDER  
NOT DELIVERABLE AS ADDRESSED  
UNABLE TO FORWARD

9400922457170250

SC: 7310265000 \*1057-00367-16-40

7310265000

OKLAHOMA DEPARTMENT OF SECURITIES  
204 North Robinson, Suite 400  
Oklahoma City, Oklahoma 73102

**CERTIFIED MAIL**



7015 0640 0004 8677 6210

**RESTRICTED DELIVERY**

Larry D Peckham II  
Secretary, Argent Enterprises, Inc.  
7220 NW 131<sup>st</sup> St  
Oklahoma City OK 73142

MESSAGE 731 NFE 1 0000719117

RETURN TO SENDER  
NOT DELIVERABLE AS ADDRESSED  
UNABLE TO FORWARD

UTF

SC: 7310265000 \*1057-00367-16-40

7310265000

U.S. POSTAGE & FINES BOWES  
ZIP 73102 \$011.72  
02 JUN 0000346013 JUN 16 2017

U.S. POSTAGE & FINES BOWES  
ZIP 73102 \$011.72  
02 JUN 0000346013 JUN 16 2017

UTK

Sub 016-059 RF

**SENDER: COMPLETE THIS SECTION**

- Complete items 1, 2, and 3.
- Print your name and address on the reverse so that we can return the card to you.
- Attach this card to the back of the mailpiece, or on the front if space permits.

1. Article Addressed to:

**RESTRICTED DELIVERY**

Nathaniel F Rogers  
 President, Argent Enterprises Inc  
 2116 Basking Ridge Trl  
 Edmond OK 73013



9590 9402 1524 5362 1153 00

2. Article Number (Transfer from service label)

7015 0640 0004 8677 6201

**COMPLETE THIS SECTION ON DELIVERY**

A. Signature

X *[Handwritten Signature]*

Agent

Addressee

B. Received by (Printed Name)

NATHANIEL ROGERS

C. Date of Delivery

6/18/17

D. Is delivery address different from item 1?  Yes

If YES, enter delivery address below:  No

3. Service Type

- Adult Signature
- Adult Signature Restricted Delivery
- Certified Mail®
- Certified Mail Restricted Delivery
- Collect on Delivery
- Collect on Delivery Restricted Delivery
- all Restricted Delivery

- Priority Mail Express®
- Registered Mail™
- Registered Mail Restricted Delivery
- Return Receipt for Merchandise
- Signature Confirmation™
- Signature Confirmation Restricted Delivery

**AFFIDAVIT OF BRENDA LONDON**

STATE OF OKLAHOMA            )  
  )  
COUNTY OF OKLAHOMA        )        ss:

Brenda London, of lawful age, being first duly sworn, upon oath states as follows:

1. I am a Paralegal for the Oklahoma Department of Securities ("Department") and the custodian of records for the Enforcement Division of the Department.
2. In the course of its regularly conducted business activity, it is the practice of the Enforcement Division to make a record of the receipt of all incoming mail and hand-delivered items relating to an investigation or proceeding. These records are made at or near the time the Department receives the mail or hand-delivered items. The Enforcement Division preserves these records for an extensive period of time.
3. The Enforcement Division also preserves, in an electronic file, all documents, relating to an investigation or proceeding, submitted by electronic upload through the Department's website.
4. After a diligent search of the Enforcement Division's records and electronic files relating to Argent Enterprises, Inc. ("AEI") and Nathaniel F. Rogers ("Rogers"), I have determined: the Department has not received any of the documents listed on Appendix "A" of the subpoena duces tecum issued by the Deputy Administrator of the Department to AEI and Rogers on June 16, 2017 (the "Subpoena"), and the Department has not received any correspondence from AEI or Rogers regarding the Subpoena.

FURTHER AFFIANT SAITH NOT.

Brenda London  
Brenda London

Subscribed and sworn to before me this 01 day of August, 2017, by Brenda London.

Lisa D. Halsted  
Notary Public

My Commission No.: 06002294  
My Commission Expires: 03-01-18  
(SEAL)

