IRVING L. FAUGHT
ADMINISTRATOR

MARY FALLIN
GOVERNOR

STATE OF OKLAHOMA
DEPARTMENT OF SECURITIES

MEMORANDUM

To: ***Irving L. Faught, Administrator***

From: ***Ken Maillard, Director of Registrations***

Date: ***September 5, 2012***

Re: ***REGISTRATIONS & EXEMPTIONS REPORT***

Attached hereto please find the report of the activities of the registration and exemption section as of August 31, 2012.

Attachment

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recycled paper

Oklahoma Department of Securities

Registrations Division Summary of Applications Processed

Between 7/1/2012 and 8/31/2012

Application Type	Total Processed	Total with Deficiencies /Comments	% of Total Processed to Total with Deficiencies	Total Granted/ Registered	Total Granted/ Registered After Correcting Deficiency	% of Total Granted/ Registered After Correcting Deficiency to Total with Deficiencies	Withdrawn Because of Deficiency	Denied Because of Deficiency
Business Opportunity Reg., Initial	0	0	0%	0	0	0%	0	0
Coordination, Initial	2	0	0%	0	0	0%	0	0
No Action/Interpretive Opinion	0	0	0%	0	0	0%	0	0
Qualification, Initial	0	0	0%	0	0	0%	0	0
Exemptions	15	1	7%	9	0	0%	0	0
Reg. D Filings (506 only)	100	4	4%	100	4	100%	0	0
Subdivided Land Sales	0	0	0%	0	0	0%	0	0
Public Trust Filings	32	0	0%	11	0	0%	0	0
Totals:	**149**	**5**	**3%**	**120**	**4**	**80%**	**0**	**0**