

STATE OF OKLAHOMA
DEPARTMENT OF SECURITIES
THE FIRST NATIONAL CENTER
120 NORTH ROBINSON, SUITE 860
OKLAHOMA CITY, OKLAHOMA 73102



In the Matter of:

Keith D. Geary, CRD No. 2996679

Respondent.

File No. 12-080

**SUMMARY ORDER POSTPONING APPLICATION FOR
REGISTRATION AND NOTICE OF OPPORTUNITY FOR HEARING**

The Administrator of the Oklahoma Department of Securities ("Department") issues this Order pursuant to § 1-411 of the Oklahoma Uniform Securities Act of 2004 ("Act"), Okla. Stat. tit. 71, §§ 1-101 through 1-701 (2011). The Administrator of the Department ("Administrator") hereby adopts the Findings of Fact and Conclusions of Law set forth below.

Findings of Fact

1. Keith D. Geary ("Geary") is subject to a pending administrative proceeding styled *In the Matter of: Geary Securities, Inc. fka Capital West Securities; Keith D. Geary; Norman Frager; and CEMP, LLC*, ODS File No. 09-141, commenced on September 22, 2010 ("Pending Proceeding").

2. As part of the Pending Proceeding, the Department is seeking to bar Geary from registration in any capacity under the Act or any successor to the Act as authorized by Section 1-411 of the Act.

3. On February 1, 2012, Geary applied for registration under the Act as an agent of Union Capital Company, a registered broker-dealer under the Act, CRD No. 110301.

4. An application for registration of an agent becomes effective at noon on the 45th day after a completed application is filed if an order is not in effect and a proceeding is not pending under Section 1-411 of the Act.

5. Geary agreed to an extension of the 45 day time period until noon on April 2, 2012.

To the extent any of these Findings of Fact are more properly characterized as Conclusions of Law, they should be so considered.

Authorities

1. Section 1-406 of the Act provides in pertinent part as follows:

(C) If an order is not in effect and a proceeding is not pending under [Section 1-411 of this title], registration becomes effective at noon on the 45th day after a completed application is filed unless the registration is denied.

2. Section 1-411 of the Act provides in pertinent part as follows:

(F) The Administrator may summarily postpone an application or summarily suspend a registration before final determination of an administrative proceeding. Upon the issuance of the order, the Administrator shall promptly notify each person subject to the order that the order has been issued, the reasons for the action, and that within fifteen (15) days after the receipt of a request in a record from the person the matter will be scheduled for a hearing and such hearing shall be commenced within fifteen (15) days of the matter being set for hearing. If a hearing is not requested and none is ordered by the Administrator, within thirty (30) days after the date of service of the order, the order becomes final by operation of law. If a hearing is requested or ordered, the Administrator, after notice of and opportunity for hearing to each person subject to the order, may modify or vacate the order or extend the order until final determination.

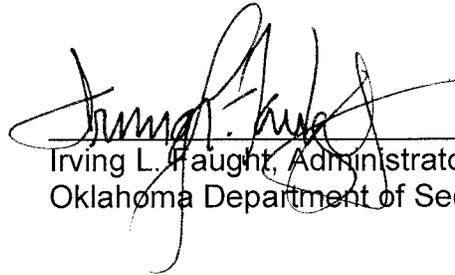
Conclusions of Law

1. The Administrator is authorized to summarily postpone Geary's application for registration as an agent of Union Capital Company pending final determination of the Pending Proceeding.

2. It is in the public interest for the Administrator to summarily postpone Geary's application for registration as an agent of Union Capital Company pending final determination of the Pending Proceeding.

To the extent any of these Conclusions of Law are more properly characterized as Findings of Fact, they should be so considered.

NOW THEREFORE, IT IS HEREBY ORDERED that the application for registration of Keith D. Geary as an agent of Union Capital Company is summarily postponed.



Irving L. Faught, Administrator
Oklahoma Department of Securities

NOTICE OF OPPORTUNITY FOR HEARING

Pursuant to § 1-411 of the Act, the Administrator hereby gives notice to Respondent of his right to request a hearing. The request for hearing must be received by the Administrator within thirty (30) days after service of this Order. The request for hearing must be in writing.

Within fifteen (15) days after receipt of a request for hearing from Respondent, this matter will be scheduled for hearing. The hearing shall commence within fifteen (15) days of the matter being set for hearing. Notice of the date, time and location of the hearing shall be given to Respondent. If a hearing is requested, the Administrator, after notice and opportunity for hearing to Respondent, may modify or vacate this Order or extend it until final determination.

If Respondent does not request a hearing within thirty (30) days after the date of service of this Order and none is ordered by the Administrator, the Order becomes final as to Respondent by operation of law.

Witness my Hand and the Official Seal of the Oklahoma Department of Securities this _____ day of March, 2012.

(SEAL)



Irving L. Faught, Administrator
Oklahoma Department of Securities

CERTIFICATE OF SERVICE

The undersigned hereby certifies that on the 30th day of March, 2012, a true and correct copy of the above and foregoing *Summary Order Postponing Registration* was emailed to:

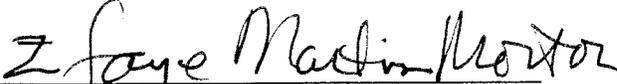
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Attorney for Keith D. Geary

The undersigned further certifies that on the 30th day of March, 2012, a true and correct copy of the above and foregoing *Summary Order Postponing Registration* was mailed by certified mail, return receipt requested, delivery restricted, with postage prepaid thereon, addressed to:

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