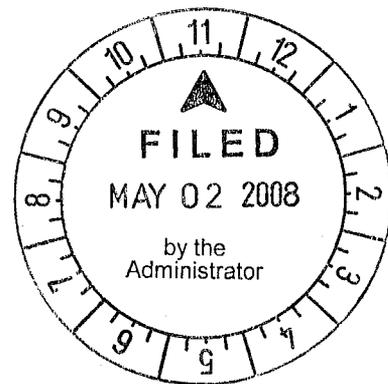


STATE OF OKLAHOMA
DEPARTMENT OF SECURITIES
THE FIRST NATIONAL CENTER, SUITE 860
120 NORTH ROBINSON
OKLAHOMA CITY, OKLAHOMA 73102



In the Matter of:

Prudential Securities, Incorporated
210 Park Avenue, Suite 2820
Oklahoma City, Oklahoma

Respondent.

ODS File No. 02-016

ORDER CLOSING SPECIAL EXAMINATION

On February 21, 2002, the Administrator (Administrator) of the Oklahoma Department of Securities (Department) issued an *Order Initiating Special Examination* (the "Order") in the referenced matter. The Order was issued based upon the receipt of certain information concerning activities occurring in and/or from the referenced branch office of Prudential Securities Incorporated (Prudential Securities) including, but not limited to, the trading activities and sales practices of Carl Busch, then an agent of Prudential Securities; the supervision of the Edmond, Oklahoma office of Prudential Securities; the outside business activities and private securities transactions of Derek Freeman, then an agent of Prudential Securities; and the maintenance of required books and records.

The special examination was conducted pursuant to Section 203 of the Oklahoma Securities Act (Act), Okla. Stat. Ann. tit. 71, §§ 1-413, 501, 701-703 (1991 and Supp. 2001), and/or the Rules of the Oklahoma Securities Commission and the Administrator of the Department of Securities (Rules).

Subsequent to the issuance of the Order and subsequent to the special examination, the registration of Prudential Securities as a broker-dealer under the Act was terminated on January 24, 2006. Having concluded the special examination and the registration of Prudential Securities having been terminated, it is hereby **ORDERED** that the special examination is closed. It is further **ORDERED** that the Department may use any information derived from and/or received as a result of the special examination to pursue any course of action authorized by the Act.

Witness my Hand and the Official Seal of the Oklahoma Department of Securities this
2nd day of May, 2008.

(SEAL)


IRVING L. FAUGHT, ADMINISTRATOR OF THE
OKLAHOMA DEPARTMENT OF SECURITIES