

**IN THE DISTRICT COURT OF OKLAHOMA COUNTY  
STATE OF OKLAHOMA**

Oklahoma Department of Securities )  
ex rel. Irving L. Faught, )  
Administrator, )  
 )  
Plaintiff, )  
 )  
v. )  
 )  
Richard O'Quin, as an individual )  
and dba Harbor Securities, )  
 )  
Defendant. )

Case No. CJ-99-2704-63

FILED IN THE DISTRICT COURT  
OKLAHOMA

OCT - 8 1999

PATRICIA PRESLEY, COURT CLERK  
By \_\_\_\_\_  
Deputy

**JUDGMENT - PERMANENT INJUNCTION**

This matter comes on for hearing before the Court on this 8<sup>th</sup> day of October, 1999, upon the motion of plaintiff, Oklahoma Department of Securities ex rel. Irving L. Faught, Administrator ("Plaintiff"), for default judgment against Richard O'Quin as an individual and doing business as Harbor Securities ("Defendant"). The Court, finding that it has jurisdiction of the parties and the subject matter of this action and the issues having been heard, finds that Plaintiff's "Motion for Default Judgment" should be, and hereby is, granted.

The Court finds that Defendant was validly served by publication with summons and petition in this cause, that the date by which Defendant was required to appear and defend this action has passed and no appearance has been made by Defendant, nor has any motion or responsive pleading been filed on his behalf.

Defendant has thus admitted the substantial allegations in the petition. The Court, having reviewed the evidence presented, and being fully advised in the premises, and on consideration thereof, finds that the allegations in Plaintiff's petition are deemed true as set forth therein, that the basis for an injunction has been established by Plaintiff.

IT IS THEREFORE ORDERED, ADJUDGED AND DECREED that judgment be, and hereby is, entered against Defendant.

IT IS FURTHER ORDERED, ADJUDGED AND DECREED by the Court that a permanent injunction be and is hereby entered, forever enjoining and restraining Defendant directly and indirectly from transacting business in this state as a broker-dealer or agent as defined in Section 2 of the Oklahoma Securities Act (the "Act"), Okla. Stat. tit. 71, §§ 1-17, 101-103, 201-204, 301-307, 401-413, 501, 701-703 (1991 and Supp. 1998), unless so registered under the Act or unless exempted from such registration.

IT IS FURTHER ORDERED, ADJUDGED AND DECREED by the Court that a permanent injunction be and is hereby entered, forever enjoining and restraining Defendant directly or indirectly, from making any untrue statement of a material fact or omitting to state material facts necessary in order to make statements made, in light of the circumstances under which they are made, not misleading, in connection with the offer, sale or purchase of any security in and/or from the state of Oklahoma in violation of Section 101(2) of the Act.

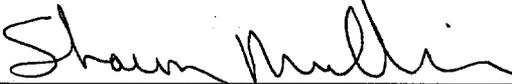
IT IS FURTHER ORDERED, ADJUDGED AND DECREED by the Court that a permanent injunction be and is hereby entered, forever enjoining and restraining Defendant directly or indirectly, from engaging in any act, practice or course of business which operates or would operate as a fraud or deceit upon

any person in connection with the offer, sale or purchase of any security in and/or from the state of Oklahoma in violation of Section 101(3) of the Act.

THIS ORDER IS ENTERED this 8<sup>th</sup> day of October, 1999.

  
\_\_\_\_\_  
JUDGE OF THE OKLAHOMA COUNTY  
DISTRICT COURT

approved as to form:

  
\_\_\_\_\_  
Shaun M. Mullins (OBA #16869)  
Enforcement Attorney  
Oklahoma Department of Securities  
First National Center, Suite 860  
120 N. Robinson  
Oklahoma City, OK 73102  
(405) 280-7700