

STATE OF OKLAHOMA  
DEPARTMENT OF SECURITIES  
204 NORTH ROBINSON, SUITE 400  
OKLAHOMA CITY, OKLAHOMA 73102



In the Matter of:

Jay Dee Jordan (CRD #1776666),

Respondent.

ODS File 17-010

**FINAL ORDER**

The Administrator of the Oklahoma Department of Securities ("**Administrator**") issues this Order against Jay Dee Jordan ("**Jordan**"), pursuant to Section 1-411 of the Oklahoma Uniform Securities Act of 2004 ("**Act**"), Okla. Stat. tit. 71, §§ 1-101 through 1-701 (2011 and Supp. 2016); 660:2-5-3 of the Rules of the Oklahoma Securities Commission and the Administrator of the Department of Securities ("**Rules**"), 660:1-1-1 through 660:25-7-1 (effective November 1, 2016); and the attached Agreement between Jordan and the Administrator. This Order is issued after notice and opportunity for hearing. Jordan voluntarily consented to the entry of this Order without the adjudication of any issue of law or fact. The Findings of Fact and Conclusions of Law contained in the Enforcement Division Recommendation filed in this matter are incorporated herein by reference.

**IT IS HEREBY ORDERED** that Jordan is barred from registration in any capacity under the Act and from association with any broker-dealer or investment adviser.

Witness my Hand and the Official Seal of the Oklahoma Department of Securities this 19<sup>th</sup> day of January, 2018.

(SEAL)

  
\_\_\_\_\_  
IRVING L. FAUGHT, ADMINISTRATOR OF  
THE OKLAHOMA DEPARTMENT OF SECURITIES

**CERTIFICATE OF SERVICE**

The undersigned certifies that on the 22<sup>nd</sup> day of January, 2018, a true and correct copy of the above and foregoing Order was mailed to:

William M. Lewis, Esq.  
Lee, Goodwin, Lee, Lewis & Dobson  
1300 E. 9<sup>th</sup>, Suite 1  
Edmond, OK 73034  
*Attorney for Respondent*

Brenda London  
Brenda London, Paralegal

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**AGREEMENT**

**THIS AGREEMENT** is entered into between Jay Dee Jordan ("**Jordan**") and the Administrator of the Oklahoma Department of Securities (the "**Administrator**" and the "**Department**") (collectively, the "**Parties**"), as of the Effective Date set forth below.

On June 30, 2017, the Enforcement Division of the Department filed an Enforcement Division Recommendation ("**Recommendation**") with the Administrator, seeking an order barring Jordan from registration in any capacity under the Oklahoma Uniform Securities Act of 2004 ("**Act**"), Okla. Stat. tit. 71, §§ 1-101 through 1-701 (2011 and Supp. 2017), and from association with any broker-dealer or investment adviser registered under the Act.

On September 15, 2017, Jordan filed an answer to the Recommendation and requested a hearing.

The Parties wish to settle this matter without litigating the merits of the Findings of Fact and Conclusions of Law contained in the Recommendation and incorporated by reference into the order issued pursuant to paragraph 2 below, all of which the Department asserts are true and Jordan neither admits nor denies. Jordan waives any right to a hearing or an appeal under the Act, the Rules of the Oklahoma Securities Commission and the Administrator of the Department of Securities, and the Oklahoma Administrative Procedures Act, Okla. Stat. tit. 75, §§ 250 through 323.

The Parties hereto agree as follows:

1. **Jurisdiction.** The Administrator has jurisdiction over Jordan and the subject matter of this Agreement.
2. **Order.** The Administrator will issue an order in the form attached hereto as "Exhibit A" ("**Order**").
3. **Reapplication.** Jordan will not reapply for registration in any capacity under Oklahoma's securities laws or be otherwise associated



with any broker-dealer or investment adviser registered under the Act.

4. **Public Statement.** Jordan shall not make any statement denying a finding of fact or conclusion of law in the Recommendation, and incorporated by reference into the Order, to the press, or a member thereof, or through social media.
5. **No Coercion.** Jordan enters into this Agreement voluntarily and without any duress, undue influence, or coercion by the Administrator, any employee of the Department, or any member of the Oklahoma Securities Commission.
6. **Public Record.** This Agreement is a public record and will be available for public examination, pursuant to Section 1-607 of the Act. A Form U6 will also be filed by the Department with the Central Registration Depository System.
7. **Consideration.** In consideration for this Agreement, the Administrator will not take further action against Jordan in connection with the conduct alleged in the Recommendation, except as provided for in paragraphs 8 and 9 below.
8. **Failure to Comply.** If Jordan fails to comply with this Agreement or the Order, the Administrator may take any action authorized by law.
9. **Limitation on Agreement.** Nothing in this Agreement shall prohibit the Administrator from furnishing information to any other properly constituted agency or authority. In the event any other agency or authority commences an action against Jordan based upon information obtained from the Administrator, the Administrator may assist in such action as authorized by law.
10. **Entire Agreement.** This writing constitutes the entire agreement of the Parties with respect to the subject matter hereof and supersedes any and all prior and contemporaneous agreements, representations and understandings of the Parties. No supplement, modification or amendment to this Agreement shall be binding unless executed in writing by each of the Parties hereto.
11. **Applicability.** This Agreement applies only to the activities of Jordan, and to no others. It is further agreed that the execution of this Agreement does not mean that the Administrator has approved, condoned or passed in any way upon the merits or qualifications of, or recommended, the transactions to which it relates or any future securities transactions.

12. **Effective Date.** This Agreement shall be effective as of the date on which it is signed by the Administrator as set forth below his signature hereto.

The Parties have executed this Agreement as of the date and year set forth below their signatures hereto.

  
\_\_\_\_\_  
JAY DEE JORDAN (CRD NO. 1776666)

Date: 1-2-18

**OKLAHOMA DEPARTMENT OF SECURITIES**

By:   
\_\_\_\_\_  
Irving L. Faught, Administrator

Date: 1-19-2018

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(SEAL)

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IRVING L. FAUGHT, ADMINISTRATOR OF  
THE OKLAHOMA DEPARTMENT OF SECURITIES



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1300 E. 9<sup>th</sup>, Suite 1  
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