

IN THE DISTRICT COURT OF OKLAHOMA COUNTY  
STATE OF OKLAHOMA

APR 01 2009

PATRICIA PRESLEY, COURT CLERK

by \_\_\_\_\_  
DEPUTY

OKLAHOMA DEPARTMENT OF )  
SECURITIES, *ex. rel.* Irving L. Faught, )  
Administrator, )  
)  
Plaintiff, )  
)  
vs. )  
)  
GLOBAL WEST FUNDING, LTD., CO., )  
an Oklahoma limited liability company, et al., )  
)  
Defendants. )

Case No. CJ-2009-2773

**ORDER MODIFYING, IN PART, TEMPORARY RESTRAINING ORDER, ORDER  
FREEZING ASSETS AND ORDER FOR ACCOUNTING**

This matter came on for consideration this 1<sup>st</sup> day of April, 2009, before the undersigned Judge of the District Court in and for Oklahoma County, State of Oklahoma. The Defendants, Global West Funding, Ltd, Global West Financial LLC, Sure Lock Financial, LLC, Sure Lock Loans, LLC, The Wave-Goldmade, Ltd., and Brian McKye ("Relevant Defendants"), appearing by and through their counsel of record, Clell I. Cunningham III of the firm of Dunn, Swan & Cunningham, P.C. and Richard L. Rose of the firm of Mahaffee & Gore, P.C. (also representing James Farnham), and the Plaintiff, Oklahoma Department of Securities *ex rel.* Irving L. Faught, Administrator, appearing by and through counsel, Patty A. Labarthe and Jennifer Shaw. This Order modifies, in part, the Temporary Restraining Order, Order Freezing Assets and Order for Accounting entered herein on March 24, 2009 (the "Original Order"). Said parties, by the signature of their respective counsel, below, agree to this *Order Modifying, in Part, Temporary Restraining Order, Order Freezing Assets and Order for Accounting.*

IT IS THEREFORE ORDERED, ADJUDGED AND DECREED that:

1. The provisions of the Original Order restraining the Relevant Defendants from offering or selling any security in and/or from this state including, but not limited to, investment notes (Original Order, at p. 2), shall remain in effect pending further order of the Court.

2. The provisions of the Original Order restraining the Relevant Defendants from “tampering with, mutilating, altering, erasing, concealing, removing, destroying or otherwise disposing of any and all books, records...pertaining to or referring to Defendants...” (Original Order, at p. 2-3), shall remain in effect pending further order of the Court.

3. The provisions of the Original Order freezing the funds of the Relevant Defendants, located in any bank, depository institution, brokerage firm or other financial institution account (Original Order, at p. 3), are hereby vacated. All banks, depository institutions, internet service providers, securities firms, or other institutions or persons served with a copy of this Order shall cooperate with the Special Master appointed by the Court in lifting and vacating any freeze heretofore imposed on any Assets of the Relevant Defendants pursuant to the Original Order. However, the only authorized signatory on these accounts shall be the Special Master or his designee(s).

4. The provisions of the Original Order prohibiting Relevant Defendants “... from directly or indirectly, transferring, withdrawing, concealing, removing, destroying, or otherwise disposing of any and all Assets” (Original order, at p. 3), shall remain in effect pending further order of the Court.

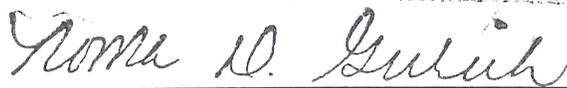
5. The provisions of the Original Order requiring Relevant Defendants to “provide notice of this Order to each of their affiliates, successors, directors, officers, and each of their employees, salespersons, representatives and independent

contractors (Original order, at p. 3), shall remain in effect pending further order of the Court.

6. All other provisions of the Original Order, as the same shall relate to the Relevant Defendants, shall be and are hereby vacated.

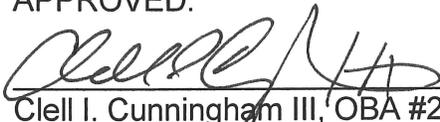
7. The hearing set for 10:00 a.m. on the 10<sup>th</sup> day of April, 2009, before the Honorable Noma Gurich pursuant to the Original Order shall remain in place as to Heritage Estate Service LLC, Joe Don Johnson and James Farnham.

THIS MODIFIED ORDER IS ENTERED this 1<sup>st</sup> day of April, 2009.



Noma Gurich, District Court Judge

APPROVED:



Clell I. Cunningham III, OBA #2093  
2800 Oklahoma Tower  
210 Park Avenue  
Oklahoma City, Oklahoma 73102  
(405) 235-8318

Attorneys for Defendants, Global West Funding, Ltd, Global West Financial LLC, Sure Lock Financial, LLC, Sure Lock Loans, LLC, The Wave-Goldmade, Ltd., and Brian McKye



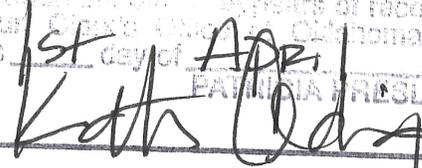
Richard L. Rose, OBA #  
Mahaffey & Gore, P.C.  
300 NE 1<sup>st</sup> Street  
Oklahoma City, Oklahoma 73104  
(405) 236-0478



Patricia Labarthe, OBA # 10391  
Jennifer Shaw, OBA # 20839  
Oklahoma Department of Securities  
120 North Robinson, Suite 860  
Oklahoma City, OK 73102  
(405) 280-7700

Attorneys for Oklahoma Department of Securities ex rel. Irving L. Faught, Administrator

I, PATRICIA PRESLEY, Court Clerk for Oklahoma County, Okla., hereby certify that the foregoing is a true, correct and complete copy of the instrument hereby set out in accordance of record in the District Court Clerk's Office, Oklahoma County, Okla. this 1<sup>st</sup> day of APRIL, 2009.



PATRICIA PRESLEY, Court Clerk  
Deputy