

660:11-5-12. Renewal [\[AMENDED\]](#)

(a) Broker-dealer. ~~Any~~ [NASDFINRA](#) member shall renew its registration by submitting the renewal fee specified in Section 1-612 of the Securities Act to the CRD. A non-[NASDFINRA](#) member shall renew its registration by submitting to the Department an Application for Renewal of Non-[NASDFINRA](#) Broker-Dealer Registration and the renewal fee specified in Section 1-612 of the Securities Act.

(b) Broker-dealer agent. Agents of [NASDFINRA](#) members shall renew their registrations by submitting the renewal fee specified in Section 1-612 of the Securities Act to the CRD. Agents of non-[NASDFINRA](#) members shall renew their registrations by submitting an Application for Renewal of Non-[NASDFINRA](#) Broker-Dealer Agent Registration and the renewal fee specified in Section 1-612 of the Securities Act to the Department.

(c) Broker-dealer principal. Principals of non-[NASDFINRA](#) members shall renew their registrations by submitting an Application for Renewal of Non-[NASDFINRA](#) Broker-Dealer Principal Registration and the renewal fee specified in Section 1-612 of the Securities Act to the Department.

(d) Issuer agent. Issuer agents shall renew their registrations by submitting an Application for Renewal of Issuer Agent Registration and the renewal fee specified in Section 1-612 of the Securities Act to the Department.