

IRVING L. FAUGHT
ADMINISTRATOR



BRAD HENRY
GOVERNOR

STATE OF OKLAHOMA
DEPARTMENT OF SECURITIES

MEMORANDUM

To: *Irving L. Faught, Administrator*

From: *Ken Maillard, Director of Registrations*

Date: *November 13, 2007*

Re: *ISSUER REPORT*

Attached hereto please find the report of the activities of the issuer section as of October 31, 2007.

Attachment

Registrations Division Summary of Applications Processed

Between 07-01-2007 and 10-31-2007

Application Type	Total Processed	Total with Deficiencies or Comments	Ratio of Total Processed to Total with Deficiencies	Total Granted	Total Granted After Correcting a Deficiency	Ratio of Total Granted After Correcting a Deficiency to Total with Deficiencies	Withdrawn Because of a Deficiency	Denied Because of a Deficiency
Business Opportunity Reg Initial	1	1	100%	1	1	100%	0	0
Coordination, Initial	14	2	14%	1	0	0%	1	0
No Action/Interpretive Opinion	1	0	0%	1	0	0%	0	0
Qualification, Initial	4	1	25%	3	1	100%	0	0
Exemptions	35	7	20%	9	5	71%	0	0
Totals:	55	11	20%	15	7	64%	1	0

Total Amount of Securities Initially Registered in Oklahoma: \$32,456,707,000.00