

IRVING L. FAUGHT  
ADMINISTRATOR



BRAD HENRY  
GOVERNOR

STATE OF OKLAHOMA  
DEPARTMENT OF SECURITIES

**MEMORANDUM**

**To:** *Irving L. Faught, Administrator*

**From:** *Ken Maillard, Director of Registrations*

**Date:** *January 26, 2007*

**Re:** *ISSUER REPORT*

Attached hereto please find the report of the activities of the issuer section as of December 31, 2006.

Attachment

Registrations Division Summary of Applications Processed

Between 07-01-2006 and 12-31-2006

Application Type	Total Processed	Total with Deficiencies or Comments	Ratio of Total Processed to Total with Deficiencies	Total Granted	Total Granted After Correcting a Deficiency	Ratio of Total Granted After Correcting a Deficiency to Total with Deficiencies	Withdrawn Because of a Deficiency	Denied Because of a Deficiency
Business Opportunity Reg Initial	2	0	0%	0	0	0%	0	0
Coordination, Initial	11	6	55%	3	0	0%	1	0
Qualification, Initial	3	1	33%	3	1	100%	0	0
Exemptions	53	15	28%	24	13	87%	3	0
<b>Totals:</b>	<b>69</b>	<b>22</b>	<b>32%</b>	<b>30</b>	<b>14</b>	<b>64%</b>	<b>4</b>	<b>0</b>

Total Amount of Securities Initially Registered in Oklahoma:

\$84,878,291,800.00